

University of Mannheim
Chair of Political Science II
Professor Dr. Thomas König
Fall 2015

International Institutions and Cooperation

weekly, Tuesdays 1.45-3.15pm, B6 A 103

Instructor: Moritz Marbach
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Course Description

International institutions are purposefully constructed by governments to facilitate common goals. For instance, bilateral trade agreements are negotiated between governments to set common product standards which are expected to reduce production costs and consumer prices. Once an international institution is in place we are often interested in the seemingly simple question "Do they work?". An answer to this question is surprisingly difficult because of the selection problem: Only international institutions that are expected to be successful are constructed by governments while those that are expected to fail never see the light of day. This seminar introduces students to the debate about the success or failure of international institutions in facilitating international cooperation. We begin by studying simple theoretical models that allow to derive predictions which international institutions will emerge in international politics and define when correlations from observational data can be interpreted as causal effects. We then look at a series of empirical studies that examine the success or failure of international institutions in particular the United Nations.

To receive credit, students have to submit three essays. The course requires to go through a large set of readings in preparation of each session. A good understanding of high school mathematics is useful as well as a first course in data analysis. The course will be taught in English.

Requirements

Read all of the **assigned literature** thoroughly and engage in the discussions during the sessions. Most readings (and slides) are provided through the Studierendenportal (portal.uni-mannheim.de). I award max. 3 points for class participation.

Students have to hand in **three essays** with an analytical summary of a set of contemporary, empirical studies that speak to the literature on the effects of international institutions (see

the attached memo). The essays are due *November 1, November 29 and January 12 at 6pm* via email. The hard copy to the Lehrstuhl secretariat (room: A 354) can be submitted after the deadline. Make sure you send it as a PDF, follow the cover page template strictly and include the pledge ("Versicherung"). If you disregard either of these three rules, I can not accept the paper and you will receive zero points. No exceptions.

I will evaluate each essay with respect to five criteria: a) language, form and style, b) purposeful summary of the reviewed studies, c) comparison of the studies' findings and methods, d) contextualization with the literature and e) critical reflection. For each criterion that you do well one, you get 1 points. I also reserve the right to give extra credit if students handle parts of their term paper exceptional well (max. 1 point). The total amount of points you can get for the essays is 15 + 3. I will provide comments for each essay within the week following the deadline.

The maximum total points you can get for this course is 15 + 3 (+ 3). Note, that you will not get 5 ECTS credits if you fail the course (fewer than 9 points). If you fail the course, you have to retake the entire course (or an equivalent course suitable for your study program) in the next semester.

The grades will be assigned as follows:

Grade	≥ %	≥ pts.
1.0	95.5	18
1.3	90.9	17
1.7	84.8	16
2.0	80.3	15
2.3	75.8	14
2.7	69.7	13
3.0	65.2	12
3.3	60.6	11
3.7	54.5	10
4.0	50	9

Other Matters

Absences: You are expected to attend every class. An absence can only be excused, provided that you talk to the instructor as soon as possible and present acceptable written evidence if required (e.g. medical attestation).

Cheating: The University's minimum penalty for plagiarism is a failure in the course. Cheating or plagiarism can lead to expulsion (Exmatrikulation) from the University.

Suggestions: Suggestions for improvement are welcome at any time! Any concern about the course should be brought first to my attention - either directly or via a spokesman/spokeswoman.

Schedule

Sep	8	<i>no course</i>
Sep	15	Introduction
Sep	22	International Regimes
Sep	29	Management-Enforcement Debate
Oct	6	Laws of War
Oct	13	Environmental Pollution
Oct	20	International Organizations
Oct	27	Election Observers I Election Observers II
Nov	3	UN Interventions I
Nov	10	UN Interventions II
Nov	17	<i>no course</i> UN Interventions III
Nov	24	UN Interventions IV
Dec	1	UN Interventions V
Dec	8	Final Discussion

Readings

Useful **reference books** are:

Schermers, H. G. & Blokker, N. M. (2011). *International Institutional Law*. Leiden: Nijhoff, 5th edition

Schiavone, G. (2008). *International Organizations: A Dictionary and Directory*. Houndmills: Palgrave Macmillan, 7th edition

Archer, C. (2001). *International Organizations*. London: Routledge, 3rd edition

General readings on international organizations and cooperation:

Schelling, T. C. (1960 (1980)). *The Strategy of Conflict*. Cambridge: Harvard University Press

Keohane, R. O. (1984). *After Hegemony: Cooperation and Discord in the World Political Economy*. Princeton: Princeton University Press

Axelrod, R. (1984). *The Evolution of Cooperation*. London: Penguin Books

Hovi, J. (1998). *Games, Threats and Treaties. Understanding Commitments in International Relations*. London: Pinter

Simmons, B. A. (1998). Compliance with International Agreements. *Annual Review of Political Science*, (1), 175–193

Martin, L. L. & Simmons, B. A. (1998). Theories and Empirical Studies of International Institutions. *International Organization*, 52(4), 729–757

Barnett, M. & Finnemore, M. (1999). The Politics, Power, and Pathologies of International Organizations. *International Organization*, 53(4), 699–732

Hawkins, D. G., Lake, D. A., Nielson, D. L., & Tierney, M. J. (2006). *Delegation and Agency in International Organizations*. Cambridge: Cambridge University Press

Milner, H. V. (2009). *Power, Interdependence, and Nonstate Actors in World Politics. Research Frontier*. Princeton: Princeton University Press

Gilligan, M. J. & Johns, L. (2012). Formal Models of International Institutions. *Annual Review of Political Science*, 15, 221–243

Assigned readings:

Introduction

no readings

International Regimes

Stein, A. (1982). Coordination and Collaboration: Regimes in an Anarchic World. *International Organization*, 36(2), 299–324.

Axelrod, R. & Keohane, R. O. (1985). Achieving Cooperation under Anarchy: Strategies and Institutions. *World Politics*, 38(1), 226–254.

Management-Enforcement Debate

Chayes, A. & Chayes, A. H. (1993). On Compliance. *International Organization*, 47(2), 175–205.

Downs, G. W., Roake, D. M., & Barsoom, P. N. (1996). Is the Good News about Compliance Good News about Cooperation? *International Organization*, 50(3), 379–406.

Laws of War

Roberts, A. (1993). The Laws of War in the 1990-91 Gulf War. *International Security*, 18(3), 134–181.

Morrow, J. D. (2007). When Do States Follow the Laws of War? *American Journal of Political Science*, 101(3), 559–572.

Recommended Readings:

Wallace, G. P. R. (2015). *Life and Death in Captivity. The Abuse of Prisoners during War*. Ithaca: Cornell University Press.

Morrow, J. D. (2014). *Order within Anarchy: The Laws of War as an International Institution*. New York: Cambridge University Press.

Environmental Pollution

Mitchell, R. B. (1994). Regime Design Matters: Intentional Oil Pollution and Treaty Compliance. *International Organization*, 48(3), 425–458.

Ringquist, E. J. & Kostadinova, T. (2005). Assessing the Effectiveness of International Environmental Agreements: The Case of the 1985 Helsinki Protocol. *American Journal of Political Science*, 49(1), 86–102.

Recommended Readings:

Benedick, R. E. (1998). *Ozone Diplomacy. New Directions in Safeguarding the Planet*. Cambridge: Havard University Press.

Downs, G. W. (2000). Constructing Effective Environmental Regimes. *Annual Review of Political Science*, 3(25–42).

Mitchell, R. B. (2003). International Environmental Agreements: A Survey of Their Features, Formation, and Effects. *Annual Review of Environmenal Resources*, 28, 429–461.

International Organizations

Abbott, K. & Snidal, D. (1998). Why States Act through Formal International Organizations. *Journal of Conflict Resolution*, 42(1), 3–32.

Hawkins, D. G., Lake, D. A., Nielson, D. L., & Tierney, M. J. (2006). *Delegation and Agency in International Organizations*. Cambridge: Cambridge University Press, Chapter 1.

Election Observers I

OECD. 2005. *Election Observation Handbook* 5th ed. <http://www.webcitation.org/5QWxvJzXy>.

Beigbeder, Y. (1994). *International Monitoring of Plebiscites, Referenda and National Elections*. Dordrecht: Martinus Nijhoff, p. 94—143.

Election Observers II

Hyde, S. D. (2007). The Observer Effect in International Politics: Evidence from a Natural Experiment. *World Politics*, 60(1), 37–63.

Hyde, S. D. (2010). Experimenting in Democracy Promotion: International Observers and the 2004 Presidential Elections in Indonesia. *Perspectives on Politics*, 8(2), 511–527.

Recommended Readings:

Asunka, J., Brierley, Sarahand Golden, M., Kramon, E., & Oforu, G. (2014). Protecting the Polls: The Effect of Observers on Election Fraud. Unpublished.

Bader, M. & Schmeets, H. (2014). Is International Election Observation Credible? Evidence from Organization for Security and Co-Operation in Europe Missions. *Research & Politics*, 1(2).

Kelley, J. G. (2012). *Monitoring Democracy: When International Election Observation Works, and Why it Often Fails*. New Haven: Princeton University Press.

Hyde, S. D. (2011). *The Pseudo-Democrat's Dilemma: Why Election Observation Became an International Norm*. Ithaca: Cornell University Press.

UN Interventions I

Bosco, D. L. (2009). *Five to Rule Them All. The Un Security Council and the Making of the Modern World*. Oxford: Oxford University Press, Chapter 1-2.

Bellamy, A. J. & Williams, P. (2006). *Understanding Peacekeeping*. Cambridge: Polity, 2nd edition, Chapter 1.1, 2-5.

Recommended Readings:

Koops, J. A., MacQueen, N., Tardy, T., & Williams, P. D., Eds. (2015). *United Nations Peacekeeping Operations*. Oxford: Oxford University Press.

United Nations (1996). *The Blue Helmets. A Review of United Nations Peace-Keeping*. New York: Department of Public Information, 3rd edition.

Bailey, S. D. & Daws, S. (1998). *The procedure of the UN Security Council*. Oxford: Clarendon Press, 3rd edition.

UN Interventions II

Walter, B. F. (2001). *Committing to Peace: The Successful Settlement of Civil Wars*. New Haven: Princeton University Press, Chapter 2.

Kydd, A. H. & Straus, S. (2013). The Road to Hell? Third-Party Intervention to Prevent Atrocities. *American Journal of Political Science*, 57(3), 673–684.

UN Interventions III

Doyle, M. W. & Sambanis, N. (2000). International Peacebuilding: A Theoretical and Quantitative Analysis. *American Political Science Review*, 94(4), 779–801.

Gilligan, M. & Sergenti, E. J. (2008). Do UN Interventions Cause Peace? Using Matching to Improve Causal Inference. *Quarterly Journal of Political Science*, 3(1), 89–122.

Recommended Readings:

Doyle, M. W. & Sambanis, N. (2006). *Making War and Building Peace. United Nations Peace Operations*. Princeton: Princeton University Press.

King, G. & Zeng, L. (2007). When Can History be Our Guide? The Pitfalls of Counterfactual Inference. *International Studies Quarterly*, 51(1), 183–210.

Fortna, V. P. (2008). *Does Peacekeeping Work?* Princeton: Princeton University Press.

Hultman, L., Kathman, J., & Shannon, M. (2013). United Nations Peacekeeping and Civilian Protection in Civil War. *American Journal of Political Science*, 57(4), 875–891.

Kathman, J. D. & Wood, R. M. (2014). Stopping the Killing during the “Peace”: Peacekeeping and the Severity of Postconflict Civilian Victimization. *Foreign Policy Analysis*, (forthcoming).

UN Interventions IV

Costalli, S. (2013). Does Peacekeeping Work? A Disaggregated Analysis of Deployment and Violence Reduction in the Bosnian War. *British Journal of Political Science*, 44(2), 357–380.

Moore, A. (2013). *Peacebuilding in Practice. Local Experience in Two Bosnian Towns*. Ithaca: Cornell University Press.

Recommended Readings:

Durch, W. (1993). *The Evolution of UN Peacekeeping*. New York: St. Martin's Press.

Jarstad, A. K. & Sisk, T. D. (2008). *From War to Democracy. Dilemmas of Peacebuilding*. Cambridge: Cambridge University Press.

Aoi, C., de Coning, C., & Thakur, R. (2007). Unintended Consequences of Peacekeeping Operations.

UN Interventions V

Chapman, T. L. & Reiter, D. (2004). The United Nations Security Council and the Rally 'round the Flag Effect. *Journal of Conflict Resolution*, 48(6), 886–909.

Ting, M. M. & Tomz, M. (2012). How Does the UN Security Council Influence Public Opinion? Unpublished.

Recommended Readings:

Fang, S. (2008). The Informational Role of International Institutions and Domestic Politics. *American Journal of Political Science*, 52(2), 304–321.

Chapman, T. L. (2011). *Securing Approval: Domestic Politics and Multilateral Authorization for War*. Chicago: University Of Chicago Press.

Thompson, A. (2009). *Channels of Power*. Ithaca: Cornell University Press.

Hayes, D. & Guardino, M. (2011). The Influence of Foreign Voices on U.s. Public Opinion. *American Journal of Political Science*, 55(4), 830–850.

Final Discussion

no readings

In addition to the academic readings, I recommend to follow the international news headlines regularly and encourage you to refer to them in course discussions. Personally, I recommend to follow the New York Times 'World' section, the Economist and the Foreign Policy (foreign-policy.com) Blogs. The well-known Political Science Blog Monkey Cage (themonkeycage.org) and The Plot (the-plot.org) is also regularly posting on issues related to this course's topic and worth visiting.